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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/035,738
Filing Date	11/7/2001
First Named Inventor	John W. Ladd
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	2269-4584.1US00-0787.1

Total Number of Pages in This Submission

7

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

**Preliminary Amendment**

Remarks

The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Brick G. Power

Registration No. 38,581

Signature

*Brick G. Power*

Date

January 18, 2002

## CERTIFICATE OF MAILING

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TC 2800 MAIL ROOM

**In re Application of:**

John W. Ladd

**Serial No.:** Not yet assigned

**Filed:** November 9, 2001

**For:** APPARATUS FOR ESTABLISHING  
AN ELECTRICAL CONNECTION WITH A  
WAFER TO FACILITATE WAFER-LEVEL  
BURN-IN AND METHODS

**Examiner:** Unknown

**Group Art Unit:** Unknown

**Attorney Docket No.:** 4584.1US (00-0787.1)

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January 18, 2002  
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Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra Pfeil

Typed/printed name of person whose signature is contained above

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please revise the above-identified application as follows:

IN THE CLAIMS:

Claims are presented below in format for publication. Claims 9 and 10 have been amended. Please enter these claims as amended. Attached is Appendix A, which contains a marked-up version of the claims as revised.